

# § 11. dimension theory

## § 10.1 Hilbert functions

•  $A = \bigoplus_{n=0}^{\infty} A_n$  noeth. graded ring.

(10.7)  $\Rightarrow \begin{cases} A_0 = \text{noeth. ring} \\ A = A_0\text{-alg generated by some } x_1, \dots, x_i, \dots, x_s \end{cases}$   
↑  
 homo. of deg.  $k_i > 0$

•  $M = \bigoplus_{n=0}^{\infty} M_n$  f.g. graded  $A$ -module.

Fact:  $M_n =$  f.g.  $A_0$ -module.

pf:  $M$  generated by  $m_1, \dots, m_r, \dots, m_t$  ← homogeneous of deg.  $r_i$

$\Rightarrow \forall x \in M_n, x = \sum_{j=1}^t f_j(x) \cdot m_j, f_j(x) = \sum_{i=0}^{\infty} f_j^{(i)}(x) \in A$

$\Rightarrow x = \sum_{j=1}^t \underbrace{f_j^{(n-r_j)}(x)}_{\text{折成单项式}} \cdot m_j$

$\Rightarrow M$  is generated by all

$\{ g_j(x) m_j \mid g_j(x) \text{ monomial in } x_i \text{ of deg } n-r_j \}$

•  $\lambda =$  additive function (with values in  $\mathbb{Z}$ ) on the class of all f.g.  $A_0$ -module.

i.e.  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  exact  $\Rightarrow \lambda(M) = \lambda(M') + \lambda(M'')$ .

example:  $A_0 = \text{Artin}$ ,  $\lambda(M) = l(M)$  length of  $M$ .

- Poincaré series of  $M$  (w.r.t.  $\lambda$ ) is

$$P(M, t) := \sum_{n=0}^{\infty} \lambda(M_n) t^n \in \mathbb{Z}[[t]].$$

Thm 11.1 (Hilbert, Serre)  $\exists f(t) \in \mathbb{Z}[[t]]$  &  $k_1, \dots, k_s \geq 1$  s.t.

$$P(M, t) = \frac{f(t)}{\prod_{i=1}^s (1-t^{k_i})}$$

Pf: Induction on  $s$ . ( $A = A_0[x_1, \dots, x_s]$ )

$$1) \quad \begin{array}{l} s=0 \Rightarrow A = A_0 \\ M = \text{f.g. } A_0\text{-module} \end{array} \left\{ \begin{array}{l} \Rightarrow M_n = 0, \text{ for } n \gg 0. \\ \Rightarrow P(M, t) \in \mathbb{Z}[[t]]. \end{array} \right.$$

2) Suppose  $s > 0$  & thm holds for  $s-1$ .

$$\bullet \quad 0 \rightarrow K_n \xrightarrow{\quad} M_n \xrightarrow{x_s} M_{n+k_s} \rightarrow L_{n+k_s} \rightarrow 0 \quad (*)$$

kernel( $x_s$ )
color( $x_s$ )

$$K := \bigoplus_n K_n \quad \text{f.g. } A\text{-mod} \quad (\leftarrow K \subseteq M)$$

$$L := \bigoplus_n L_n \quad \text{f.g. } A\text{-mod} \quad (\leftarrow M \twoheadrightarrow L)$$

$$\bullet \quad x_s \cdot K = 0 = x_s \cdot L$$

$\Rightarrow K$  and  $L = f.g. A/(x_s) = A_0[\bar{x}_1, \dots, \bar{x}_s]$  - mod.

$\stackrel{\text{induction}}{\Rightarrow} P(K, t) \& P(L, t)$  are of the form in thm

• (\*)  $\Rightarrow \lambda(K_n) - \lambda(M_n) + \lambda(M_{n+k_s}) - \lambda(L_{n+k_s}) = 0$

$$\begin{aligned} \stackrel{P^{n+k_s}}{\Rightarrow} & t^{k_s} \sum_{n=0}^{\infty} \lambda(K_n) t^n - t^{k_s} \sum_{n=0}^{\infty} \lambda(M_n) t^n \\ & + \sum_{n=0}^{\infty} t^{n+k_s} \lambda(M_{n+k_s}) - \sum_{n=0}^{\infty} t^{n+k_s} \lambda(L_{n+k_s}) = 0 \end{aligned}$$

$$\Rightarrow (1 - t^{k_s}) P(M, t) = P(L, t) - t^{k_s} P(K, t) + g(t)$$

poly.  $\nearrow$

$\Rightarrow \checkmark$

Def:  $d(M) :=$  order of the pole of  $P(M, t)$

Cor 11.2:  $k_1 = \dots = k_s = 1$

$\Rightarrow \exists h(t) \in \mathbb{Q}[t]$  of deg.  $d-1$  s.t.

$$\lambda(M_n) = h(n) \quad \text{for } n \gg 0.$$

$h$  is called the Hilbert function (or poly) of  $M$  w.r.t.  $\lambda$  (3)

$$\begin{aligned}
 \text{Pf: } \lambda(M_n) &= \text{coefficient of } t^n \text{ in } f(t) (1-t)^{-d} \\
 &= \text{coeff. of } t^n \text{ in } \sum_{k=0}^N a_k t^k \cdot \sum_{k=0}^{\infty} \binom{d+k-1}{d-1} t^k \\
 &\stackrel{n \geq N}{=} \sum_{k=0}^N a_k \binom{d+n-k-1}{d-1}
 \end{aligned}$$

leading term

$$\left( \sum_{k=0}^N a_k \right) \frac{n^{d-1}}{(d-1)!} = f(1) \frac{n^{d-1}}{(d-1)!} \neq 0.$$

Prop 11.3. If  $x \in A_k$  is not zero-divisor in  $M^{\neq 0}$ , then

$$d(M/xM) = d(M) - 1$$

$$\text{Pf: } 0 \rightarrow M_n \xrightarrow{x} M_{n+k} \rightarrow M_{n+k}/xM_n \rightarrow 0$$

$$\Rightarrow (1-x^k) P(M, x) = P(M/xM, x) + g(x) \quad \square$$

$\tau$  poly.

$$M=0 \Rightarrow d(M) = -\infty = d(M/xM) \Rightarrow \checkmark$$

$$M \neq 0 \Rightarrow \exists n_0 \text{ s.t. } M_{n_0} \neq 0 \Rightarrow M_{nk+n_0} \supseteq x^n M_{n_0} \neq 0$$

$$\Rightarrow P(M, x) \neq \text{polynomial}$$

$$\Rightarrow P(M, x) \text{ has pole} \Rightarrow d(M) \geq 1.$$

$$\Rightarrow (1-x^k) P(M, x) \text{ has pole of deg } d(M)-1 \text{ at } 1$$

$$\Rightarrow d(M/xM) = d(M) - 1 \quad \square$$

Example:  $A = A_0[x_1, \dots, x_s]$ ,  $\deg x_i = 1$ .  
 (Artin.)  
 $\ell(M) = \ell(M) \quad \& \quad \lambda_0 := \ell(A_0) \neq 0$

$$\Rightarrow P(A, x) = \sum_{n=0}^{\infty} \binom{s+n-1}{s-1} \cdot \lambda_0 \cdot x^n = \frac{\lambda_0}{(1-x)^s}$$

pf:  $\exists \binom{s+n-1}{s-1}$  monomials of deg  $n$  □

Prop 11.4 •  $(A, m) =$  noeth. local ring.

•  $\mathfrak{q} = m$ -primary

•  $M =$  f.g.  $A$ -module

•  $(M_n) =$  stable  $\mathfrak{q}$ -filtration of  $M$ . Then

i)  $\ell(M/M_n) \leq \infty \quad \forall n \geq 0.$

ii)  $\exists$  polynomial  $g(n)$  of deg  $\leq s$  s.t.

$$\ell(M/M_n) = g(n) \quad n \gg 0.$$

where  $s$  is the least number of generators of  $\mathfrak{q}$

iii) Leading term of  $g(n)$  depends only on  $M$  and  $\mathfrak{q}$   
 not on  $(M_n)$ .

Pf: i)  $A = \text{noeth.}$

$$\stackrel{(8.5)}{\Rightarrow} G(A) := \bigoplus_{n=0}^{\infty} \mathfrak{f}^n / \mathfrak{f}^{n+1} = \text{noeth.}$$

$M = \text{f.g. } A\text{-module}$

$$\stackrel{(10.22)}{\Rightarrow} G(M) = \bigoplus_{n=0}^{\infty} M_n / M_{n+1} = \text{f.g. } G(A)\text{-module}$$

$$\Rightarrow M_n / M_{n+1} = \text{f.g. } A_0\text{-module.}$$

$A_0 = \text{Artin}$

$$\Rightarrow \ell(M_n / M_{n+1}) < \infty$$

$$\Rightarrow \ell(M / M_n) = \sum_{r=1}^n \ell(M_{r-1} / M_r) < \infty.$$

ii) (11.2)  $\Rightarrow \exists$  poly  $f(n)$  of  $\text{deg} \leq s-1$  s.t.

$$f(n) = \ell(M_n / M_{n+1}) \quad n \gg 0.$$

$$\Rightarrow \ell_{n+1} - \ell_n = f(n) \quad n \gg 0.$$

$$\Rightarrow \exists g(n) = \text{poly of deg} \leq s.$$

$$\ell_n = \ell_N + \underbrace{f(N+1) + f(N+2) + \dots + f(n)}$$

$$f = \sum_{k=0}^d a_k n^k \quad \Rightarrow \quad \sum_{k=0}^d a_k \underbrace{\left( (N+1)^k + (N+2)^k + \dots + n^k \right)}_{\text{poly of } n \text{ of deg } k+1.}$$

iii)  $(\tilde{M}_n)$  another  $\mathfrak{q}$ -filtration.

$$\stackrel{(10.6)}{\Rightarrow} \exists N \text{ s.t. } \begin{cases} \tilde{M}_{n+N} \subseteq M_n \\ M_{n+N} \subseteq \tilde{M}_n \end{cases}$$

$$\Rightarrow \begin{cases} \tilde{g}(n+N) \geq g(n) \\ g(n+N) \geq \tilde{g}(n) \end{cases}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{\tilde{g}(n)}{g(n)} = 1 \Rightarrow \checkmark \quad \square$$

Notion: 1) poly  $g(n)$  corr. to  $(\mathfrak{q}^n M)$  is denote by  $\chi_{\mathfrak{q}}^M(n)$ .

$$\chi_{\mathfrak{q}}^M(n) := l(M/\mathfrak{q}^n M) \quad \underline{n \gg 0}$$

2)  $\chi_{\mathfrak{q}}(n) := \chi_{\mathfrak{q}}^A(n)$  characteristic polynomial of the  $\mathfrak{m}$ -primary ideal  $\mathfrak{q}$ .

Prop 11.6.  $\deg \chi_{\mathfrak{q}}(n) = \deg \chi_{\mathfrak{m}}(n)$

$$\begin{aligned} \text{pf: } \mathfrak{m} \supseteq \mathfrak{q} \supseteq \mathfrak{m}^r &\Rightarrow \mathfrak{m}^n \supseteq \mathfrak{q}^n \supseteq \mathfrak{m}^{nr} \\ &\Rightarrow \chi_{\mathfrak{m}}(n) \leq \chi_{\mathfrak{q}}(n) \leq \chi_{\mathfrak{m}}(nr) \quad \square \end{aligned}$$

Notion:  $d(A) := \deg \chi_{\mathfrak{q}}(n)$ .

Fact:  $d(A) = d(G_{\mathfrak{m}}(A))$

## § 11.2 dimension theory of noetherian local rings

$(A, \mathfrak{m}) = \text{noeth. local ring}$

$\delta(A) := \text{least number of generators of an } \mathfrak{m}\text{-primary ideal of } A$

$d(A) := \deg \chi_{\mathfrak{q}}(n) \quad (\ell(A/\mathfrak{q}^n) = O(n^{d(A)}))$

$\dim A := \text{max. length } \mathfrak{P}_1 \subseteq \mathfrak{P}_2 \subseteq \dots \subseteq \mathfrak{P}_r = \mathfrak{m}$

aim of this section:

$$\delta(A) \geq \delta(A) \geq \dim(A) \geq \delta(A)$$

Prop 11.7  $\delta(A) \geq d(A)$ .

Pf: (11.5) + (11.6) □

Prop 11.8  $M = \text{f.g. } A\text{-module}$ . let  $x \in A$  be a non-zero-divisor in  $M$ . Then

⑧ 
$$\deg \chi_{\mathfrak{q}}^{M/xM} = \deg \chi_{\mathfrak{q}}^M - 1$$



$$\text{Pf: } N := xM \subseteq M \Rightarrow M \xrightarrow{\sim} N \quad (\text{as } A\text{-mods}) \\ m \mapsto xm$$

$$\left. \begin{aligned} M' &:= M/xM \\ N_n &:= N \cap \mathfrak{q}^n M \end{aligned} \right\}$$

$$\Rightarrow 0 \rightarrow N/N_n \rightarrow M/\mathfrak{q}^n M \rightarrow M'/\mathfrak{q}^n M' \rightarrow 0$$

$$g(n) := \ell(N/N_n) \quad n \gg 0 \\ \implies g(n) - \chi_{\mathfrak{q}}^M(n) + \chi_{\mathfrak{q}}^{M'}(n) = 0 \quad n \gg 0$$

Artin-Rees (10.9)  $\Rightarrow (N_n) = \text{stable } \mathfrak{q}\text{-filtration}$

$\Rightarrow g(n)$  &  $\chi_{\mathfrak{q}}^M(n)$  has the  
some leading term

$$\Rightarrow \deg \chi_{\mathfrak{q}}^{M'} < \deg \chi_{\mathfrak{q}}^M(n) = \deg g(n) \quad \square$$

Cor 11.9.  $A = \text{noeth. local}$   $x \neq \text{zero divisor in } A$ . Then

$$d(A/(x)) \leq d(A) - 1$$

Prop 11.10.  $d(A) \geq \dim A$ .

pf: Induction on  $d = d(A)$ .

$$d=0 \Rightarrow \mathcal{K}_m(n) = \text{const.}$$

$$\Rightarrow \ell(A/m^n) = \text{constant} \quad n \gg 0.$$

$$\Rightarrow m^n = m^{n+1} \quad n \gg 0$$

$$\stackrel{(2.6)}{\Rightarrow} m^n = 0$$

$$\Rightarrow A = \text{Artin} \ \& \ \dim A = 0. \quad \checkmark$$

Suppose  $d > 0$ .  $\mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_r$  any chain

$$1^\circ \cdot x \in \mathfrak{P}_1 \setminus \mathfrak{P}_0 \Rightarrow x' = x + \mathfrak{P}_0 \in A' = A/\mathfrak{P}_0$$

$\uparrow$  integral

$$\stackrel{(11.9)}{\Rightarrow} d(A'/(x')) \leq d(A') - 1$$

$$2^\circ \cdot m' = \text{max ideal of } A'$$

$$\Rightarrow A \xrightarrow{\pi} A'$$

$$\Rightarrow \pi(m) \subseteq m'$$

$$\Rightarrow A/m^n \twoheadrightarrow A'/m'^n$$

$$\Rightarrow \ell(A/m^n) \geq \ell(A'/m'^n)$$

$$\Rightarrow d(A) \geq d(A')$$

$$\overset{!^{\circ}}{\Rightarrow} d(A'/(x')) \leq d-1$$

$$\overset{\text{induction}}{\Rightarrow} r-1 \leq \dim(A'/(x')) \leq d(A'/(x')) \leq d-1$$

$$\Rightarrow r \leq d$$

□

Cor 11.11  $A = \text{noeth. local ring} \Rightarrow \dim A < \infty.$

height of prime ideal  $\mathfrak{P}$

$$ht(\mathfrak{P}) := \dim(A_{\mathfrak{P}})$$

<sup>(3.13)</sup> = the supremum of chains of prime ideals

$$\mathfrak{P}_0 \subsetneq \mathfrak{P}_1 \subsetneq \dots \subsetneq \mathfrak{P}_r = \mathfrak{P}$$

Cor 11.12 In a noeth. ring,

i) every prime ideal has finite height

ii) the set of prime ideals satisfies DCC. Descending chain condition

Rmk:  $\text{depth}(\mathfrak{P}) := \dim(A_{\mathfrak{P}})$  could be  $\infty.$

Ⓜ

Prop 11.13.  $(A, \mathfrak{m}) = \text{noeth. local of dim } d$ . Then there exists an  $\mathfrak{m}$ -primary ideal in  $A$  generated by  $d$  elements  $x_1, \dots, x_d$ . Therefore  $\dim A \geq \delta(A)$ .

Pf: Construct  $x_1 \dots x_d$  inductively s.t.

$$\forall \text{ prime } \mathfrak{P} \supseteq \{x_1, \dots, x_i\} \Rightarrow \text{ht}(\mathfrak{P}) \geq i. \quad (*)$$

Suppose  $i > 0$  &  $x_1, \dots, x_{i-1}$  are constructed.

$$I = (x_1, \dots, x_{i-1}) \triangleleft A$$

$$\Rightarrow \Sigma = \{ \mathfrak{P} : \text{minimal prime ideals of } I \}$$

$$= \{ \mathfrak{P}_1, \mathfrak{P}_2, \dots, \mathfrak{P}_s, \dots, \mathfrak{P}_t \} \quad (\text{ht} \geq i-1)$$

$$\text{assume } \text{ht}(\mathfrak{P}_1) = \dots = \text{ht}(\mathfrak{P}_s) = i-1$$

$$\text{ht}(\mathfrak{P}_l) \geq i \quad \forall s < l \leq t.$$

$$1^\circ \quad s = 0, \text{ i.e. } \text{ht}(\mathfrak{P}_l) \geq i \quad \forall 1 \leq l \leq t$$

$$\Rightarrow \forall x_i \in \mathfrak{m}, \{x_1, \dots, x_i\} \text{ satisfies } (*).$$

$$2^\circ \quad s \neq 0.$$

$$\stackrel{(1.11)}{\Rightarrow} \mathfrak{m} \neq \bigcup_{j=1}^s \mathfrak{P}_j \quad \left( \begin{array}{l} \text{not maximal} \\ \mathfrak{P}_j \neq \mathfrak{m} \quad \forall j=1, \dots, s \end{array} \right)$$

$$\Rightarrow \forall x_i \in \mathfrak{m} \mid \bigcup_{j=1}^s \mathfrak{P}_j$$

$\forall$  prime ideal  $\mathfrak{q} \supseteq (x_1, \dots, x_i) \not\supseteq (x_1, \dots, x_{i-1})$

$\Rightarrow \exists \bar{j} \in \{1, \dots, s\}$  s.t.

$$\mathfrak{q} \supseteq \mathfrak{P}_{\bar{j}}$$

$$1' : \bar{j} \in \{1, \dots, s\} \Rightarrow x_i \notin \mathfrak{P}_{\bar{j}} \Rightarrow \mathfrak{q} \not\supseteq \mathfrak{P}_{\bar{j}}$$

$$\Rightarrow \text{ht}(\mathfrak{q}) \geq \text{ht}(\mathfrak{P}_{\bar{j}}) = i$$

$$2' : \bar{j} \notin \{1, \dots, s\} \Rightarrow \text{ht}(\mathfrak{q}) \geq \text{ht}(\mathfrak{P}_{\bar{j}}) \geq i.$$

$\Rightarrow (*)$  holds for  $\{x_1, \dots, x_i\}$ .

Consider  $(x_1, \dots, x_d)$ .

$\forall \mathfrak{P} =$  prime ideal of  $(x_1, \dots, x_d)$

$$\Rightarrow \text{ht}(\mathfrak{P}) \geq d \Rightarrow \mathfrak{P} = \mathfrak{m}$$

$$\Rightarrow (x_1, \dots, x_d) = \mathfrak{m}\text{-primary.} \quad \square$$

Thm 11.14 (dimension thm)  $(A, \mathfrak{m}) = \text{noeth. local.}$

$$s(A) = d(A) = \dim A.$$

Pf: (11.7), (11.10), (11.13)

$\square$ .

Example:  $A = k[x_1, \dots, x_n]_{\mathfrak{m}}$   $\mathfrak{m} = (x_1, \dots, x_n)$ .

$$\Rightarrow G_{\mathfrak{m}}(A) \cong k[\bar{x}_1, \dots, \bar{x}_n]$$

$$\Rightarrow P(G_{\mathfrak{m}}(A), \bar{x}) = (1-x)^{-n}$$

$$\Rightarrow \dim A = n.$$

Cor 11.15 :  $\dim A \leq \dim_{\kappa}(\mathfrak{m}/\mathfrak{m}^2)$ .

$$\text{pf: } \mathfrak{m}/\mathfrak{m}^2 = \bigoplus_{i=1}^s k \cdot \bar{x}_i \Rightarrow \mathfrak{m} = \sum_{i=1}^s A x_i \quad \square$$

Cor. 11.16 :  $A = \text{noeth.}$   $x_1, \dots, x_r \in A$ .

$\mathfrak{P} =$  a minimal ideal belonging to  $(x_1, \dots, x_r)$ .

Pf:  $(x_1 \dots x_r) A_{\mathfrak{P}} \triangleleft A_{\mathfrak{P}}$  is  $\mathfrak{P} A_{\mathfrak{P}}$ -primary.

$$\Rightarrow \text{ht}(\mathfrak{P}) = \dim A_{\mathfrak{P}} \leq r \quad \square$$

Cor 11.17 (Krull's principal ideal thm)  $A = \text{noeth.}$   $x \in A \setminus A^{\times}$

$x \neq$  zero divisor  $\Rightarrow \text{ht}(\mathfrak{P}) = 1$ ,  $\forall \mathfrak{P} =$  mini. prim ideal of  $(x)$ .

Pf: (11.16)  $\Rightarrow \text{ht}(\mathfrak{P}) \leq 1$ .

Suppose  $\text{ht}(\mathfrak{P}) = 0 \Rightarrow \mathfrak{P}$  belong to  $(0) \stackrel{(4.7)}{\Rightarrow} x \in \mathfrak{P}$  zero divisor  $\square$ .

Cor 11.18  $(A, \mathfrak{m}) = \text{noeth. local}$ ,  $x \in \mathfrak{m}$ , non-zero-divisor. Then

$$\dim A/(x) = \dim A - 1$$

Pf:  $d := \dim A/(x)$ .

$$\bullet d \stackrel{(11.14)}{=} d(A/(x)) \stackrel{(11.9)}{\leq} d(A) - 1 \stackrel{11.14}{=} \dim A - 1$$

$$\bullet \forall x_1, \dots, x_d \in \mathfrak{m} \text{ s.t.}$$

$$(\bar{x}_1, \dots, \bar{x}_d) = \mathfrak{m}/(x) \text{-primary}$$

$$\Rightarrow (x, x_1, \dots, x_d) = \mathfrak{m} \text{-primary.}$$

$$\Rightarrow \dim A \leq d + 1$$

□

Cor 11.19:  $\hat{A} = \mathfrak{m}$ -adic completion of  $A$ . Then

$$\dim A = \dim \hat{A}$$

$$\text{Pf: } (10.5) \Rightarrow A/\mathfrak{m}^n \cong \hat{A}/\hat{\mathfrak{m}}^n \Rightarrow \chi_{\mathfrak{m}}(n) = \chi_{\hat{\mathfrak{m}}}(n)$$

□

$\{x_1, \dots, x_d\}$  is called a system of parameters for  $A$ , if

$$\dim A = d \ \& \ (x_1, \dots, x_d) = \mathfrak{m} \text{-primary.}$$

Prop 11.22: •  $x_1, \dots, x_d =$  system of parameters for  $A$ .

$$\mathfrak{q} = (x_1, \dots, x_d),$$

•  $f \in A[t_1, \dots, t_d]$  homog. of deg  $s$ . Then

$$f(x_1, \dots, x_d) \in \mathfrak{q}^{s+1} \Rightarrow \text{all coeff. of } f \in \mathfrak{m}$$

Pf: • Consider  $\alpha: (A/\mathfrak{q})[t_1, \dots, t_d] \rightarrow G_{\mathfrak{q}}(A)$   
 $t_i \mapsto \bar{x}_i$  ↙ hom. of graded rings

$$\alpha(\bar{f}) = \bar{f}(\bar{x}_1, \dots, \bar{x}_d) = 0 \in \mathfrak{q}^s / \mathfrak{q}^{s+1}$$

$$\Rightarrow (A/\mathfrak{q})[t_1, \dots, t_d] / (\bar{f}) \rightarrow G_{\mathfrak{q}}(A)$$

• Suppose some coeff. of  $f$  is a unit.

$$\Rightarrow \bar{f} \neq \text{zero-divisor in } (A/\mathfrak{q})[t_1, \dots, t_d]$$

$$\Rightarrow d \stackrel{(11.14)}{=} d(G_{\mathfrak{q}}(A))$$

$$\leq d((A/\mathfrak{q})[t_1, \dots, t_d] / (\bar{f}))$$

$$\stackrel{(11.3)}{=} d((A/\mathfrak{q})[t_1, \dots, t_d]) - 1$$

$$\stackrel{\text{Ex 11.3}}{=} d - 1$$

↳ .



Cor 11.21: Suppose  $k \subsetneq A$  is a field s.t.  $k \xrightarrow{\cong} A/\mathfrak{m}$ .

$(x_1, \dots, x_d)$  = system of parameters. Then

$x_1, \dots, x_d$  are algebraically independent over  $k$ .

Pf: Assume  $f(x_1, \dots, x_d) = 0$  with  $f \in k[t_1, \dots, t_d] \setminus \{0\}$ .

$$f = f_s + \tilde{f} \text{ higher terms}$$

$\uparrow$  homog. of deg  $s$ .

$$\Rightarrow f_s(x_1, \dots, x_d) = -\tilde{f}(x_1, \dots, x_d) \in \mathfrak{m}^{s+1}$$

$$\Rightarrow \text{coefficients of } f_s \in \mathfrak{m}$$

$$\mathfrak{m} \cap k = 0 \Rightarrow \text{coefficients of } f_s = 0$$

$$\Rightarrow f_s = 0 \quad \downarrow$$

□

## § 11.3 Regular local Rings.

Thm 11.22.  $(A, \mathfrak{m}, k) = \text{noeth. local of dim. } d. \text{ TFAE.}$

i).  $G_{\mathfrak{m}}(A) \cong k[t_1, \dots, t_d]$  (as graded ring)

ii).  $\dim_k (\mathfrak{m}/\mathfrak{m}^2) = d$

iii)  $\mathfrak{m}$  can be generated by  $d$  elements.

Pf: i)  $\Rightarrow$  ii) clear.  $(\mathfrak{m}/\mathfrak{m}^2 \cong \bigoplus_{i=1}^d k t_i.)$

ii)  $\Rightarrow$  iii) Nakayama

iii)  $\Rightarrow$  i) let  $\mathfrak{m} = (\alpha_1, \dots, \alpha_d)$

(11.20)  
 $\Rightarrow \alpha : k[t_1, \dots, t_d] \xrightarrow{\cong} G_{\mathfrak{m}}(A)$

$t_i \mapsto \bar{\alpha}_i \quad \square$

Def: regular local ring = noeth. local ring satisfying i), ii), iii). in Thm.

Lem 11.23:  $\mathfrak{x} \triangleleft A$  s.t.  $\bigcap_n \mathfrak{x}^n = 0$

$G_{\mathfrak{x}}(A) = \text{int. domain} \Rightarrow A = \text{int. domain.}$

Pf:  $\forall x, y \in A \setminus \{0\} \Rightarrow x \in \mathfrak{x}^r \setminus \mathfrak{x}^{r+1}$  &  $y \in \mathfrak{x}^s \setminus \mathfrak{x}^{s+1}$  for some  $r, s$ .

(18)  $\Rightarrow \bar{x}, \bar{y} \in G_{\mathfrak{x}}(A) \setminus \{0\}$

$$\Rightarrow \bar{xy} = \bar{x} \cdot \bar{y} \neq 0 \in \mathfrak{a}^{r+s} / \mathfrak{a}^{r+s+1} \subseteq G_{\mathfrak{a}}(A)$$

$$\Rightarrow xy \notin \mathfrak{a}^{r+s+1}$$

$$\Rightarrow xy \neq 0 \quad \square$$

Fact: 1) regular local ring of  $\dim 1 \stackrel{(9.2)}{=} \text{DVR}$

2)  $A = \text{local int. domain}$

$$G_{\mathfrak{m}}(A) = \text{integrally closed} \Rightarrow A = \text{integral closed}$$

3). regular  $\Rightarrow$  integrally closed.  
 $\Leftarrow$

Prop 11.24.  $A = \text{noeth. local ring.}$  Then

$$A = \text{regular} \Leftrightarrow \hat{A} = \text{regular.}$$

$$\text{Pf: } A = \text{local} \stackrel{10.16}{\Rightarrow} \hat{A} = \text{local}$$

$$A = \text{noeth.} \stackrel{10.26}{\Rightarrow} \hat{A} = \text{noeth.}$$

$$(11.19) \Rightarrow \dim A = \dim \hat{A} =: d$$

$$A = \text{regular} \stackrel{\text{Def}}{\Leftrightarrow} G_{\mathfrak{m}}(A) \cong k[t_1, \dots, t_d]$$

$$\Leftrightarrow G_{\hat{\mathfrak{m}}}(\hat{A}) \cong k[t_1, \dots, t_d]$$

$$\stackrel{\text{Def}}{\Leftrightarrow} \hat{A} = \text{regular} \quad \square$$

## § 11.4 transcendental dimension

- $k = \bar{k}$  alg. closed field
- $V = \text{irr affine variety over } k$  i.e.  $\exists$  prime ideal  $\mathfrak{P} \triangleleft k[t_1, \dots, t_n]$

$$V = \left\{ x \in \mathbb{A}^n \mid f(x) = 0 \ \forall f \in \mathfrak{P} \right\}$$

$A(V) := k[t_1, t_2, \dots, t_n] / \mathfrak{P}$  the coordinate ring of  $V$ .

$k(V) := \text{Frac}(A(V))$  field of rational function on  $V$ .

- $\dim V := \text{tran. deg}_k k(V)$ .

Fact (Nullstellensatz)  $V \xleftrightarrow{|\cdot|} \left\{ \mathfrak{m} \triangleleft A(V) \mid \mathfrak{m} = \text{maximal} \right\}$   
 $x = (x_1, \dots, x_n) \mapsto \mathfrak{m}_x = (\bar{t}_1 - x_1, \bar{t}_2 - x_2, \dots, \bar{t}_n - x_n) \triangleleft A(V)$

Thm 11.25 .  $\dim V = \dim A(V)_{\mathfrak{m}} \ \forall \mathfrak{m} \text{ maximal}$

Pf:  $d := \dim V$

$A = A(V) \Rightarrow \exists x_1, \dots, x_d \in A$  s.t.

$B = k[x_1, \dots, x_d] \hookrightarrow A$  is integral

$\stackrel{\S 5}{\Rightarrow} \dim A_{\mathfrak{m}} = \dim B_{\mathfrak{n}} = d$

Cor 11.27  $\dim A(V) = \dim A(V)_{\mathfrak{m}} \ \forall \mathfrak{m}$ .

(20) Pf:  $\dim A(V) := \sup_m \dim A(V)_{\mathfrak{m}} = \dim V = \dim A(V)_{\mathfrak{m}} \quad \square$